

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

pplication

nventor(s): Appln. No.: Smith et al. 09/888,298

Confirm. No.: 9531

Filed:

June 22, 2001

Title:

METHOD, SYSTEM AND ARTICLE OF

MANUFACTURE FOR ACCESSING

COMPUTATIONAL RESOURCES THROUGH

**ELECTRONIC MESSAGES** 

PATENT APPLICATION

Art Unit:

2155

Examiner:

H. Alam

4-12-04

Customer No. 23910

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

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(Attorney Signature)

Martin C. Fliesler, Reg. No. 25,656 Signature Date: April 2, 2004

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.56

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 RECEIVED

APR 0 6 2004

**Technology Center 2100** 

Sir:

It is requested that the information identified in this statement be considered by the Examiner and made of record in the above-identified application. This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56. If this is a continuation, divisional or continuation-in-part application, it is understood that the Examiner will consider all information which was considered by the Office in a parent application. MPEP §609. Such information therefore is not listed herein unless it is desired that the information be printed on a patent issuing from the subject application.

- The present application was filed prior to June 30, 2003. A copy of each cited document as required  $\checkmark$ by 37 C.F.R. §1.98 is enclosed, except for those items designated by an asterisk (\*), which were previously submitted by the applicant in a parent application, from which benefit under 35 U.S.C. \$120 is claimed, with an Information Disclosure Statement submitted in the parent application which complies with the September 8, 2000 or subsequent revision of 37 C.F.R. §1.98(a-c), as allowed under 37 C.F.R. §1.98(d)(1).
- The present application is being/was filed after June 30, 2003. In accordance with the pre-official gazette waiver of 37 CFR 1.98 (a)(2)(i) posted at: pac/dapp/opla/preognotice/idswouscopies.htm, copies of cited U.S. patents and publications are not enclosed. However, copies of cited foreign

- 1 -

104.001:123103 04/02/04-12:20

Attorney Docket No.: XERX-01051US0 mcf/xerx/1051us0/ids cover.wpd

patent documents and non-patent literature are enclosed in accordance with 37 CFR 1.98(a)(2), as still required, except for those items designated by an asterisk (\*), which were previously submitted by the applicant in a parent application, from which benefit under 35 U.S.C. §120 is claimed, with an *Information Disclosure Statement* submitted in the parent application which complies with the September 8, 2000 or subsequent revision of 37 C.F.R. §1.98(a-c), as allowed under 37 C.F.R. §1.98(d)(1).

- If any of the cited/submitted documents is in a foreign language, a concise explanation of relevance is provided pursuant to 37 C.F.R. §1.98(a)(3)(i). For foreign language documents cited in a search report by a foreign patent office, the requirement for a concise explanation of relevance is satisfied by the submission herewith of an English language version of the search report. MPEP §609A(3). If a written English-language translation of a non-English language document, or portion thereof, is within the possession, custody or control of, or is readily available to any individual designated in §1.56(c), a copy of the translation accompanies this statement, 37 C.F.R. §1.98(a)(3)(ii), and satisfies the requirement for a concise explanation of relevance, MPEP §609A(3).
- ✓ PTA Statement under 37 C.F.R. §1.704(d). Each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in §1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.

## Enclosed with this statement are the following:

- Form PTO-1449A. The Examiner is requested to initial the form and return it to the undersigned in accordance with M.P.E.P. §609.
- ✓ Form PTO-1449B. The Examiner is requested to initial the form and return it to the undersigned in accordance with M.P.E.P. §609.
- ✓ Copy of European Search Report dated March 5, 2004 from European Patent Office for European Patent Application No. 02013812, a foreign counterpart of this U.S. application.

## This statement should be considered because:

- ✓ 37 C.F.R. §1.97(b). This statement qualifies under 37 C.F.R. §1.97, subsection (b) because:
  - (1) It is being filed within three months of the filing date of an application other than a continued prosecution application under § 1.53(d);

-- OR --

- (2) It is being filed within 3 months of entry of a national stage;
  -- OR --
- (3) It is being filed before the mailing date of the first Office Action on the merits,
  -- OR --
- (4) It is being filed before the mailing date of the first Office Action after the filing of a Request for Continued Examination under 37 C.F.R. §1.114.

✓ Fee Authorization. The Commissioner is hereby authorized to charge any deficiencies or credit any overpayment to Deposit Account No. 06-1325. A duplicate copy of this authorization is enclosed.

Respectfully submitted,

Date:\_

FLIESLER MEYER LLP Four Embarcadero Center, Fourth Floor San Francisco, California 94111-4156 Telephone (415) 362-3800 By: .

Martill C. Fliesle Reg. No. 25,656



PTO/SR/21 (02-04)

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| RADAMAN TO TOO TOO  | Application Number   | 09/888,298  |  |  |  |
| TRANSMITTAL   | Filing Date  | 6/22/2001   |  |  |  |
| FORM  | First Named Inventor   | lan E. Smith  |  |  |  |
| (to be used for all correspondence after initial f  | filing) Art Unit   | 2155  |  |  |  |
|   | Examiner Name  | H. Alam   |  |  |  |
| Total Number of Pages in This Submission  | Attorney Docket Number   | XERX-01051US0   |  |  |  |
|   | ENCLOSURES (Check all that   | it apply)   |  |  |  |
| Fee Transmittal Form Fee Attached  Amendment/Reply After Final Affidavits/declaration(s)  Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s)  Response to Missing Parts/ Incomplete Application  Response to Missing Parts under 37 CFR 1.52 or 1.53 | Drawing(s)  Licensing-related Papers  Petition  Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Address  Terminal Disclaimer  Request for Refund  CD, Number of CD(s)  Remarks | After Allowance communication to Technology Center (TC)  Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  Proprietary Information  Status Letter Other Enclosure(s) (please Identify below): copy of cited references; copy of European Search Report for EP Application No. 02013812  APR 0 6 2004  Technology Center 2100 |  |  |  |
| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT  |  |   |  |  |  |
| Firm or   Martin C. Fliesler   Individual name   Fliesler Meyer   L   | r, Reg. No. 25,656<br>LP/  | Customer Number: 23910  |  |  |  |
| Signature Reg. No.: 25,656  |  |   |  |  |  |
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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known Application Number 09/888,298 Filing Date 6/22/2001 First Named Inventor lan E. Smith Art Unit 2155 **Examiner Name** H. Alam Attorney Docket Number XERX-01051US0

|                    | _            |  | U. S. PATENT D              | OCUMENTS   |   |
|--------------------|--------------|--|-----------------------------|--|---|
| Examiner Initials* | Cite<br>No.1 | Document Number  Number-Kind Code <sup>2 (# known)</sup> | Publication Date MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                    | 1            | <sup>US-</sup> 5906656                                   | 05-25-99                    | Williams   | abstract;   |
|                    |              | US-  |                             |  | claims 1-4, 8-12, 16-18   |
|                    |              | US-  |                             |  | figures 2-4, 6, 7; and  |
|                    |              | US-  |                             |  | col. 1, lines 40-52.  |
|                    |              | US-  |                             |  |   |
|                    |              | US-  |                             | DE   | CEIVED  |
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|                    |              | US-  |                             |  |   |
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|                    |              | US-  |                             | 100.111  |   |
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|                       |                          | FOREIG   | N PATENT DOC                | UMENTS   |   |                |
|-----------------------|--------------------------|--|-----------------------------|--|---|----------------|
| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Foreign Patent Document  Country Code <sup>3</sup> Number <sup>4</sup> 'Kind Code <sup>5</sup> (# known) | Publication Date MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>Or Relevant Figures Appear | T <sup>6</sup> |
|                       | 2                        | WO9222033A   | 12-10-92                    | Bell Communications                                | abstract; claims 1, 4, 5, 8-10, 13;   |                |
|                       |                          |  |                             |  | p. 1, lines 9-15; p. 2, line 16-  |                |
|                       |                          |  |                             |  | p. 3, line 2; p. 7, lines 9-22;   |                |
|                       |                          |  |                             |  | p. 8, lines 1-12; p. 13, lines 15-23  |                |
|                       |                          |  |                             |  | p. 14, line 27-p. 15, line 9;   |                |
|                       |                          |  |                             |  | and p. 18, lines 14-18  |                |

| Examiner  |   | Date       |  |
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Attorney Docket No.: XERX-01051US0 mcf/xerx/1051us0/ids 1449a.pdf

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|-----------------------------------|---|----|----------|------------------------|---------------|--|
|                                   |   |    |          | Application Numb r     | 09/888,298    |  |
| INFORMATION DISCLOSURE            |   |    |          | Filing Date            | 6/22/2001     |  |
| STATEMENT BY APPLICANT            |   |    | PPLICANT | First Named Inventor   | Ian E. Smith  |  |
| (Use as many sheets as necessary) |   |    |          | Art Unit               | 2155          |  |
|                                   |   |    | cessary) | Examiner Name          | H. Alam       |  |
| Sheet                             | 2 | of | 2        | Attorney Docket Number | XERX-01051US0 |  |

| Examiner Initials*    Cite   Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.    VITTAL, JOHN: "Active Message Processing: Message as Messengers" Computer Message Systems. Proceedings of the IFIP TC International Symposium, The Netherlands, April 6, 1981, pp. 175-195.    ROSE, MARSHALL and BORENSTEIN, NATHANIEL: "A Model for Enabled Mail (EM)" July 19, 1994, published online at http://www.island-resort.com/enabled.htm    BORENSTEIN, NATHANIEL: "E-mail with a Mind of its Own: The Safe-TCL Language for Enabled Mail" IFIP Transactions C. Communications Systems, Elsevier Science B.V., Amsterdam, The Netherlands. No. C-25. 1994. pp. 389-402.    FUNFROCKEN STEFAN: "How to Integrate Mobile Agents into Web Servers" Proceedings: Sixth IEEE Workshops on Enabling Technologies: Infrastructure for Collaborative Enterprises, IEEE Computer Society, Cambridge, MA and Los Alamitos, CA, June 1997, pp. 94-99.    RECEIVED APR 0 6 2004 |
|---|
| Initials* No.¹ the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.  VITTAL, JOHN: "Active Message Processing: Message as Messengers" Computer Message Systems. Proceedings of the IFIP TC International Symposium, The Netherlands, April 6, 1981, pp. 175-195.  ROSE, MARSHALL and BORENSTEIN, NATHANIEL: "A Model for Enabled Mail (EM)" July 19, 1994, published online at http://www.island-resort.com/enabled.htm  BORENSTEIN, NATHANIEL: "E-mail with a Mind of its Own: The Safe-TCL Language for Enabled Mail" IFIP Transactions C. Communications Systems, Elsevier Science B.V., Amsterdam, The Netherlands. No. C-25. 1994. pp. 389-402.  FUNFROCKEN STEFAN: "How to Integrate Mobile Agents into Web Servers" Proceedings: Sixth IEEE Workshops on Enabling Technologies: Infrastructure for Collaborative Enterprises, IEEE Computer Society, Cambridge, MA and Los Alamitos, CA, June 1997, pp. 94-99.  RECEIVED  APR 0 6 2004   |
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| 4 1994, published online at http://www.island-resort.com/enabled.htm  BORENSTEIN, NATHANIEL: "E-mail with a Mind of its Own: The Safe-TCL Language for Enabled Mail" IFIP Transactions C. Communications Systems, Elsevier Science B.V., Amsterdam, The Netherlands. No. C-25. 1994. pp. 389-402.  FUNFROCKEN STEFAN: "How to Integrate Mobile Agents into Web Servers" Proceedings: Sixth IEEE Workshops on Enabling Technologies: Infrastructure for Collaborative Enterprises, IEEE Computer Society, Cambridge, MA and Los Alamitos, CA, June 1997, pp. 94-99.  RECEIVED  APR 0 6 2004  |
| Enabled Mail" IFIP Transactions C. Communications Systems, Elsevier Science B.V., Amsterdam, The Netherlands. No. C-25. 1994. pp. 389-402.  FUNFROCKEN STEFAN: "How to Integrate Mobile Agents into Web Servers" Proceedings: Sixth IEEE Workshops on Enabling Technologies: Infrastructure for Collaborative Enterprises, IEEE Computer Society, Cambridge, MA and Los Alamitos, CA, June 1997, pp. 94-99.  RECEIVED  APR 0 6 2004   |
| 6 IEEE Workshops on Enabling Technologies: Infrastructure for Collaborative Enterprises, IEEE Computer Society, Cambridge, MA and Los Alamitos, CA, June 1997, pp. 94-99.  RECEIVED  APR 0 6 2004   |
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